

UNITED STATES PATENT APPLICATION

FOR

INCREMENTAL BUS RECONFIGURATION

WITHOUT BUS RESETS

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METHOD AND APPARATUS FOR THE ADDITION AND REMOVAL  
OF NODES FROM A COMMON INTERCONNECT

FIELD OF THE INVENTION

The present invention relates generally to data communications  
5 and more particularly to the addition and subtraction of nodes to a  
common interconnect.

BACKGROUND OF THE INVENTION

Digital electronic systems such as computer systems often use a  
common interconnect to share information between components of  
10 the digital electronic system. For computer systems, the interconnect is  
typically the computer bus.

One type of system interconnect is described by IEEE Standards  
document P1394, Draft 7.1v1, entitled IEEE Standard for a High  
Performance Serial Bus (hereafter the "P1394 serial bus standard"). A  
15 typical serial bus having the P1394 standard architecture is comprised of  
a multiplicity of nodes that are interconnected via point-to-point links  
such as cables that each connect a single node of the serial bus to  
another node of the serial bus. Data packets are propagated throughout  
the serial bus using a number of point-to-point transactions, wherein a  
20 node that receives a packet from another node via a first point-to-point  
link retransmits the received packet via other point-to-point links. A  
tree network configuration and associated packet handling protocol  
ensures that each node receives every packet once.

The P1394 serial bus standard provides for an arbitrary bus topology wherein the hierarchical relationship between nodes of the serial bus is determined by the manner in which the nodes are connected to one another. A P1394 serial bus is configured in three  
5 phases: bus initialization, tree identification, and self identification. During bus initialization, the general topology information of the serial bus is identified according to a tree metaphor. For example, each node is identified as being either a "branch" having more than one directly connected neighbor node or a "leaf" having only one neighbor  
10 node. During tree identification, hierarchical relationships are established between the nodes. For example, one node is designated a "root" node, and the hierarchy of the remaining nodes is established with respect to the relative nearness of a node to the root node. Given two nodes that are connected to one another, the node connected closer  
15 to the root is the "parent" node, and the node connected farther from the root is the "child." Nodes connected to the root are children of the root. During self identification, each node is assigned a bus address and a topology map may be built for the serial bus.

According to the P1394 serial bus standard, reconfiguration of a  
20 serial bus is required when either 1) a new node is joined to the serial bus, or 2) an identified node of the serial bus is removed from the serial bus. Reconfiguration is required to better ensure that all nodes of the serial bus are notified of the newly connected or disconnected node and that each node has a unique bus address. Typically, the node of the

serial bus that detects a new connection or disconnection forces the three phase configuration to be performed by asserting a bus reset signal. The three phase configuration process typically requires several hundred microseconds to perform, during which time

5 communications of data between nodes is halted. Such long periods of interruption may significantly affect the operation of the system for some uses of the serial bus. Therefore, it would be desirable to provide a mechanism that allows the connection and disconnection of nodes from the serial bus such that interruptions to serial bus traffic are

10 reduced.

## SUMMARY OF THE INVENTION

An electronic system interconnect is described that comprises a first node and a second node coupled to the first node and that allows for the addition of nodes to the interconnect after the interconnect is initially configured. The interconnect is initially configured to include the first and second nodes. A third node is added to the interconnect after the interconnect is initially configured, and the first node responds to the addition of the third node by initiating a new connect handshake with the third node. The first node begins by transmitting a first signal to the third node. The first node signals that the third node has been added to the interconnect if the third node responds to the first signal by transmitting a second signal. The first node causes the interconnect to be reconfigured if the third node transmits a third signal in response to receiving the first signal. According to one embodiment, the electronic system interconnect is a serial bus, and the first node signals the addition of the third node after arbitrating for the serial bus. The use of normal bus arbitration to signal the addition of nodes to the serial bus reduces interruptions of bus traffic.

A method for building a topology map of a serial bus without requiring a bus reset is also disclosed. A bus topology manager node of the serial bus transmits a SEND\_SELF\_ID packet to a first node. The first node receives the SEND\_SELF\_ID packet and responds by transmitting a SELF\_ID packet of the first node to the bus topology manager node. A parent node of the first node responds to the

SELF\_ID packet of the first node by transmitting its own SELF\_ID packet. The bus topology manager node is thus able to build a bus topology map without requiring a bus reset.

## BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example and not limitation in the figures of the accompanying drawings, in which like references indicate similar elements, and in which:

5       FIGURE 1 shows a serial bus according to one embodiment.

FIGURE 2 shows a pair of differential signal lines for a cable.

FIGURE 3 shows the addition of a node to a serial bus.

FIGURE 4 begins an example of a process for adding a node to a serial bus wherein the new node is a JCSN.

10       FIGURE 5 continues the example began in FIGURE 4.

FIGURE 6 continues the example began in FIGURE 4.

FIGURE 7 continues the example began in FIGURE 4.

FIGURE 8 continues the example began in FIGURE 4.

FIGURE 9 completes the example began in FIGURE 4.

15       FIGURE 10 begins an example of a process for adding a node to a serial bus wherein the new node is a JCNN.

FIGURE 11 continues the example began in FIGURE 10.

FIGURE 12 completes the example began in FIGURE 10.

20       FIGURE 13 shows the addition of multiple nodes to the serial bus.

FIGURE 14 shows the addition of multiple nodes to the serial bus, wherein multiple nodes are coupled to the same JCNN of the serial bus.

FIGURE 15 begins an example of a process for subtracting a node from the serial bus.

FIGURE 16 continues the example began in FIGURE 15.

FIGURE 17 completes the example began in FIGURE 16.

5      FIGURE 18A shows the first quadlet of a PHY configuration packet according to the P1394 serial bus standard.

FIGURE 18B shows a NODE\_ADDED\_ALERT packet.

FIGURE 18C shows a SET\_ADDRESS packet.

FIGURE 18D shows a NODE\_DETACHED\_ALERT packet.

10      FIGURE 18E shows a SEND\_SELF\_ID packet.

FIGURE 19 shows a JCNN new connect state machine.

FIGURE 20 shows a JCNN node addition process detection state machine.

FIGURE 21 shows a JCSN new connect state machine.

15      FIGURE 22 shows a JCNN new disconnect state machine.

FIGURE 23 begins an example of a process for building a topology map without requiring a bus reset.

FIGURE 24 continues the example of FIGURE 23.

FIGURE 25 completes the example of FIGURE 23.

20      FIGURE 26 shows a modified protocol state machine that enables the polling of nodes and sending of self\_id packets after the serial bus is configured.



## DETAILED DESCRIPTION

As described herein, nodes may be connected to or disconnected from an existing, configured serial bus in an incremental manner without undertaking the three-phase bus configuration process required by the P1394 serial bus standard. Thus, nodes may be added to a serial bus such that interruptions of bus traffic are reduced. Also described herein is a mechanism whereby the bus topology manager of a serial bus may build a topology map for a previously configured serial bus without undertaking the three-phase bus configuration process.

Although the embodiments of the claimed inventions are described with reference to a serial bus, the claimed inventions may find application in any interconnect architecture having an arbitrary topology wherein the hierarchical relationship between nodes of the interconnect is determined by the manner in which the nodes are connected to form the interconnect.

Figure 1 shows a configured serial bus wherein at least one of the nodes of the serial bus includes circuitry for adding and removing nodes from the serial bus without requiring a bus reset. Serial bus 100 includes nodes 110-140 and cables 145-170, wherein cable 145 couples node 140 to node 130, cable 150 couples node 140 to node 135, cable 155 couples node 130 to node 110, cable 160 couples node 130 to node 115, cable 165 couples node 135 to node 125, and cable 170 couples node 135 to node 120.

Each of the nodes 110-140 is typically associated with a "local host," which is a component of the electronic system for which the serial bus acts as a primary or complimentary interconnect. The serial bus 100 may generally operate as specified by the P1394 serial bus  
5 standard, wherein each of the nodes 110-140 includes at least one port, and each of the cables 145-170 includes two pairs of differential signal lines and a pair of power lines. Each connected port is implied by the connection between a cable and a node.

During the initialization phase of the bus configuration process,  
10 nodes 110-125 are identified as leaf nodes, and nodes 130-140 are identified as branch nodes. Each node identifies itself as either a leaf or a branch in response to the number of connected ports that are detected. During the tree identification phase of the bus configuration process, node 140 is identified as the root node. During the self-  
15 identification phase of the configuration process, each node is assigned a bus address, wherein the root node is typically assigned the largest valid bus address. For example, node 110 is assigned address 0, node 115 is assigned address 1, node 130 is assigned address 2, node 125 is assigned address 3, node 120 is assigned address 4, node 135 is assigned  
20 address 5, and root node 140 is assigned address 6. Bus arbitration may begin once a serial bus has successfully completed the bus configuration process.

A serial bus may be provided with a bus topology manager ("BTM") that undertakes various bus management tasks. Typically,

any node of the serial bus may be the BTM, and node 130 is identified as the BTM for serial bus 100. Among other tasks, BTM node 130 is responsible for maintaining a topology map for the serial bus 100 that identifies the relationships between each of the nodes and the bus address for each node.

Figure 2 illustrates the mechanism whereby a node of the serial bus is able to detect whether a particular port of that node is connected to another node of the serial bus. This mechanism is used by a node during the bus initialization phase of the bus configuration process to determine whether that node is a leaf node or a branch node.

As shown, a parent node 205 is coupled to a child node 210 via cable 215, which is shown as including a pair of differential signal lines. Again, the interconnected ports of the parent and child nodes are implied by the connection of the cable 215 to the respective nodes. As shown, each of the ports for each node is divided into an "A" side and a "B" side, wherein each of the A and B sides of each port includes a transceiver that is connected to one of the pair of signal lines.

When connected, the signal lines of the cable 215 may be in a "1" state, a "0" state, and a "Z" state, wherein the 1 state dominates the 0 state, which dominates the Z state. During arbitration, both nodes may drive the signal lines simultaneously, and each node interprets the state of a signal line by comparing the value that node is driving on the signal line to the value that node is receiving on the signal line. Table

1 shows the decoding for arbitration signal lines according to the P1394 serial bus standard.

**TABLE 1: Arbitration Signal Decoding Rules**

Received Value	Transmitted Value	Interpreted Value
Z	Z	Z
0	Z	0
1	Z	1
Z	0	1
0	0	0
Z	1	1
1	1	1

5       As shown in Figure 2, cable 215 provides a connection between  
the ports of the parent and child nodes such that the A side of the  
parent port is coupled to the B side of the child port, and the B side of  
the parent port is coupled to the A side of the child port. For each port,  
the A side transceiver provides a common mode biasing voltage to a  
10   connected differential signal line, and the B side transceiver includes  
circuitry for detecting the common mode biasing voltage. If the B side  
transceiver of a port detects a biasing voltage, the node identifies that  
port as being connected to another node. If the B side transceiver of a  
port does not detect a biasing voltage, the node identifies that port as  
15   not being connected to another node. If the state of a port changes from

connected to disconnected, or vice versa, after the configuration process has completed, the P1394 serial bus standard requires a bus reset.

For some cases, the connection or disconnection of a node may be detected without physically connecting or disconnecting a node from the serial bus. For example, it may be desirable for the nodes of the serial bus to draw power from a source other than the cables of the serial bus, such as a node's local host. However, one reason for specifying that the nodes of the serial bus draw power from the cables is that a node may remain powered up even when its associated local host is powered down. The function of supplying the biasing voltage requires power, and if the local host of a node that draws power from the local host is switched off, the biasing voltage will be removed from each of the nodes connected ports, and a "disconnection" is detected even though the node remains physically connected to the serial bus. Similarly, a new "connection" may be detected when a node that draws power from its local host is powered on.

Therefore, a new connection may be detected when a new node is initially physically connected to the serial bus, or when an already connected node that is not powered by the cable is switched on. A disconnection may be similarly detected. As described above, the P1394 serial bus standard specifies that if a node detects a new connection or disconnection after the bus configuration process, that node will force a bus reset. The incremental addition process described herein accounts

for both types of connections and disconnections, providing for the addition and removal of nodes without requiring a bus reset.

The general structure of a P1394 serial bus is such that a single new connection between a new node and an identified node of an existing serial bus can result in the addition of multiple nodes to the serial bus. For example, a first new node having a first port coupled to a second new node may be connected to an identified node of an existing serial bus via a second port. It is further possible that the multiple new nodes may themselves form an existing configured serial bus such that the new connection results in the connection of two existing and configured serial buses.

Due to the deterministic nature of the P1394 bus configuration process, it is highly likely that the first serial bus will have one or more nodes that are assigned the same bus addresses as the nodes of the second serial bus, and a bus reset is highly desirable for such a case. Therefore, the incremental addition process described herein distinguishes between two types of nodes: Just Connected Single Nodes (JCSNs); and Just Connected Network Nodes (JCNNs). A JCSN is a new node having a single connected port, and the addition of a JCSN may be equated to the addition of a leaf to the tree structure of the serial bus. A JCSN may be added incrementally. A JCNN is a node having multiple connected ports. By definition, the identified node of the existing serial bus to which the new node is connected is a JCNN.

Figure 3 shows the serial bus 100 wherein a new node 305 is "connected" to the serial bus. Cable 310 couples node 305 to node 125, which identifies itself as a JCNN upon the connection event. New node 305 may be either a JCSN or a JCNN. New node 305 identifies  
5 itself as a JCSN if it has only one connected port, and new node 305 identifies itself as a JCNN if it has more than one connected port. The process of the new node identifying itself as either a JCSN or a JCNN is similar to the process of a node identifying itself as either a leaf node or a branch node during the bus initialization phase of the configuration  
10 process.

To provide the incremental addition of nodes to a serial bus , a new connect handshake protocol is defined between the newly connected node and the previously identified node of the serial bus. The result of the handshake between the new node and the identified  
15 node is determined by whether the new node is a JCSN or a JCNN. If the new node is a JCSN, the incremental addition process is initiated. If the new node is a JCNN, a bus reset is forced by the new node.

The new connect handshake protocol may be implemented in several different ways. However, according to one embodiment, the  
20 new connect handshake uses previously defined arbitration signals of the P1394 serial bus standard to discriminate between the addition of a JCSN and the addition of a JCNN. Using previously defined signals allows the incremental addition process to be implemented at a lower cost because less new circuitry is required. As the specific examples are

discussed with reference to a serial bus that supports the P1394 signaling protocols, the signals of the new connect handshake may be referred to as being asserted "concurrently." For other interconnect architectures that operate according to different signaling protocols, signals of the new connect handshake may be asserted contemporaneously or sequentially, and no temporal overlap between the signals may occur.

A node that identifies itself as a JCNN initiates the new connect handshake by asserting a YOU ARE MY CHILD ("YAMC") signal on the port where a new connection is detected. According to one embodiment, the YAMC signal transmitted by the JCNN is a signal identified in the P1394 serial bus standard as the tx\_child\_notify line state, which results in the JCNN transmitting a value of AB=1Z.

Figure 3 shows JCNN 125 as initiating the new connect handshake by asserting the YAMC signal. JCNN 125 may concurrently provide a speed signal indicating the speed capability of JCNN 125. If new node 305 is a JCNN, it also asserts the YAMC signal, which, as discussed below with respect to 10-12, results in a bus reset. Figures 4-9 discuss the case wherein new node 305 is a JCSN.

When initially powered up, a JCSN is in an idle state wherein it transmits an idle signal on the signal lines having a value of AB=ZZ. Upon detecting the new connection, a JCSN begins an internal timer wherein the JCSN will force a bus reset if a predetermined amount of time passes without receiving a YAMC signal, which may occur, for



example, when a JCSN is coupled to a standard P1394 node that does not support incremental addition.

As shown in Figure 4, if new node 305 is a JCSN, JCSN 305 detects the YAMC signal and latches the speed signal of JCNN 125, if the speed signal is provided. Because of the cross-coupling of the A and B sides of the cable between nodes and because of the arbitration decoding rules described by Table 1, a JCSN detects the YAMC signal as  $AB=Z1$ . JCSN 305 responds to the YAMC signal by asserting a YOU ARE MY PARENT ("YAMP") signal. JCSN 305 may concurrently provide a speed signal indicating the speed capability of JCSN 305.

According to one embodiment, the YAMP signal is a signal identified by the P1394 serial bus standard as the `tx_parent_notify`, and has a transmitted value of  $AB=0Z$ . The JCNN 125 continues to assert the YAMC signal while receiving the YAMP signal. JCNN therefore detects a value of  $AB=10$ . The new connect handshake is successfully completed. The new connect handshake reverses the order of the parent-child handshake that is specified by the P1394 serial bus standard for use during the tree identification phase of the configuration process.

Upon receiving the YAMP signal from JCSN 305, JCNN 125 begins to arbitrate for control of the bus, as shown in Figure 5. JCNN 125 arbitrates for the bus by using fair arbitration, and JCNN 125 sends a request signal REQ to the root node 140 via its parent node 135 to request control of the bus. JCSN 305 and JCNN 125 continue to assert

the YAMP and YAMC signals via their newly connected ports. The root node 140 arbitrates the request of JCNN 125, and replies with either a DENY signal or a GRANT signal. If the root node 140 denies the request of JCNN 125, JCNN 125 continues to arbitrate until it is  
5 granted bus access. JCSN 305 may be provided with an internal time-out mechanism for forcing a bus reset if a predetermined amount of time passes after completing the new connect handshake without receiving a GRANT signal. For one embodiment, JCNN 125 discontinues arbitration if it detects that another JCNN is currently  
10 adding another JCSN to the serial bus. JCNN 125 restarts arbitration and the timeout mechanism when it detects that the other JCSN has been successfully added to the serial bus.

In Figure 6, JCNN 125 receives the GRANT signal. JCNN 125 deasserts the YAMC signal in response to receiving the GRANT signal,  
15 and JCSN 305 deasserts the YAMP signal in response to detecting the YAMC signal being deasserted such that the newly connected port enters an idle state. As shown in Figure 7, once the newly connected port of JCNN 125 goes idle, JCNN 125 transmits a  
NODE\_ADDED\_ALERT (or "N\_A\_ALERT") broadcast packet to all of  
20 the nodes of serial bus 100. The NODE\_ADDED\_ALERT packet is discussed below with respect to Figures 18A-18E. The new connect handshake is complete, and JCSN 305 is assigned bus address 63, which allows JCSN 305 to receive but not transmit bus packets. According to the P1394 serial bus standard, bus address 63 is an invalid address.

JCSN 305 is provided with an internal time-out mechanism wherein JCSN 305 forces a bus reset if JCSN 305 is not assigned a valid address within a specified amount of time. This is discussed in more detail below.

5           The NODE\_ADDED\_ALERT packet propagates throughout the serial bus such that it is received by BTM node 130. For the case wherein other nodes are concurrently arbitrating to add a new JCSN to the serial bus, the detection of a NODE\_ADDED\_ALERT packet may be used by waiting nodes as a mechanism for queuing the addition of  
10   their associated JCSNs to the serial bus. For example, when a NODE\_ADDED\_ALERT packet is detected, a waiting node discontinues arbitration.

          As shown in Figure 8, BTM node 130 responds to the NODE\_ADDED\_ALERT packet by sending an ADDRESS\_SET packet  
15   (or "ASP") that causes a node having a bus address of 63 to set its address to the address specified in the ADDRESS\_SET packet. Because multiple requests to add JCSNs are queued, typically only one JCSN will be allowed to receive the ADDRESS\_SET packet. The ADDRESS\_SET packet propagates throughout the serial bus 100 such  
20   that JCSN 305 receives the ADDRESS\_SET packet. The ADDRESS\_SET packet may be used by waiting nodes to indicate when arbitration to add a JCSN may begin again. The ADDRESS\_SET packet is described in more detail below. If no bus topology manager is provided, an

ADDRESS\_SET packet will not be sent within the specified amount of time, and JCSN 305 will force a bus reset.

As described above, the BTM node 130 maintains a topology map for serial bus 100 such that the BTM node 130 is aware of the currently  
5 assigned bus addresses. BTM node 130 may thus ensure that a unique address is assigned to JCSN 305. As shown in Figure 9, JCSN 305 may be assigned the next highest available address as a matter of convenience. Thus, JCSN 305 is assigned bus address 7. As will be shown, the assigned addresses for a serial bus that provides for  
10 incremental configuration may differ significantly from a serial bus that requires reconfiguration when a new node is added to the serial bus.

Figures 10-12 show the outcome of the new connect handshake when new node 305 is a JCNN. Upon power up, node 305 identifies  
15 itself as a JCNN. As described above, a JCNN initiates the new connect handshake upon detection of the connection event by asserting the YAMC signal. JCNN 125 behaves similarly such that JCNN 125 and JCNN 305 concurrently assert YAMC signals, wherein the transmitted value for each JCNN is AB=1Z. This is shown in Figure 10. JCNNs 125  
20 and 305 both detect AB=11, which is the bus\_reset signal specified by the P1394 serial bus standard. As shown in Figure 11, both nodes respond by sending out the RESET signal on all connected ports such that a full bus reset occurs.

Figure 12 shows the result of the bus reconfiguration. During the initialization phase of the bus configuration process, nodes 110-120 and 305 are identified as leaf nodes, and nodes 135-140 are identified as branch nodes. During the tree identification phase of the bus configuration process, node 140 may again be identified as the root node. During the self-identification phase of the configuration process, node 110 is assigned address 0, node 115 is assigned address 1, node 130 is assigned address 2, node 305 is assigned address 3, node 125 is assigned address 4, node 120 is assigned address 5, node 135 is assigned address 6, and root node 140 is assigned address 7.

A comparison of the assigned bus addresses shown in Figures 9 and 12 shows that the node addresses resulting from incremental addition of nodes may be different than the node addresses for the normal bus configuration process. Occasionally, it may be necessary for the bus topology manager of the serial bus to rebuild the topology map for the serial bus, and, according to the prior art, the bus topology manager may force a bus reset to rebuild the topology map. When incremental addition of nodes is not allowed, forcing a bus reset to rebuild the topology map typically results in the identical topology map being recovered. Given the incremental addition process described herein, the recovery of an identical topology map can no longer be ensured. Further, a bus reset interrupts bus traffic. Therefore, as will be discussed with respect to Figures 23-26, the bus topology manager may be provided with a mechanism for polling the nodes of serial bus

such that a topology map for the serial bus may be built without requiring a bus reset.

The addition of a JCSN discussed with respect to Figures 3-9 is a relatively simple example. Figures 13 and 14 show more complex examples. Figure 13 shows the concurrent addition of two JCSNs to serial bus 100, wherein each JCSN is coupled to a different JCNN. For example, JCSN 1305 is coupled to JCNN 120 via cable 1315, and JCSN 1310 is coupled to JCNN 125 via cable 1320. Figure 14 shows the concurrent addition of two JCSNs to serial bus 100 via the same JCNN. For example, JCSN 1405 is coupled to JCNN 120 via cable 1415, and JCSN 1410 is coupled to JCNN 120 via cable 1420. To allow the concurrent addition of multiple JCSNs, the queuing mechanism mentioned above and described below with respect to Figures 19-22 may be employed.

The incremental disconnection of nodes is now discussed with respect to Figures 15-17. As shown in Figure 15, node 305 is disconnected from the serial bus 100. Node 125 detects the disconnection and makes a request for control of the serial bus 100. Root node 140 grants the request in Figure 16. In Figure 17, node 125 sends a `NODE_DETACHED_ALERT` (or "`N_D_ALERT`") packet. The incremental disconnection process is complete. Additional details regarding disconnection are discussed with respect to Figure 22.

The `NODE_ADDED_ALERT` packet, the `SET_ADDRESS` packet, and the `NODE_DETACHED_ALERT` packet are now discussed with

respect to Figures 18A-18E. The form that these packets may take may vary depending on the desired implementation. For example, the NODE\_ADDED\_ALERT packet may simply be a SELF\_ID packet as specified by the P1394 serial bus standard. Because SELF\_ID packets are normally sent only during the bus configuration process, the bus topology manager can use the SELF\_ID packet to determine where a new node has been added. The SET\_ADDRESS packet may also be a PHY configuration packet as specified by the P1394 serial bus standard, wherein bits of the PHY configuration packet that are normally unused are used to set the address of the new node.

Alternatively, the NODE\_ADDED\_ALERT, the SET\_ADDRESS packet, and the NODE\_DETACHED\_ALERT may take the form of a new type of PHY configuration packet as described with respect to Figures 18B-18D. Figure 18A shows the first quadlet of a PHY configuration packet 1800 as defined by the P1394 serial bus standard. The second quadlet (not shown) of a PHY configuration is simply the logical inverse of the first quadlet. As shown, a quadlet comprises thirty-two bits of data divided into six different fields. Identifier field 1801 comprises two bits of logic 0, which identifies the packet as a PHY configuration packet. Node\_ID field 1802 comprises six bits that specify a node address. Set\_root\_control bit 1802 is set to a logic 1 if a new node is to become the root. According to the P1394 serial bus standard, the node\_ID field 1802 is ignored if the set\_root\_control bit 1803 is set to a logic 0. Set\_gap\_timer\_control bit 1804 is set to a logic 1 if a new

gap count is being specified in the gap\_count field 1805, which comprises six bits. According to the P1394 serial bus standard, the gap\_count field 1805 is ignored if the set\_gap\_timer\_control bit 1803 is set to a logic 0. An undefined field 1806 follows the gap\_count field

5 1805 and comprises sixteen bits.

Special PHY configuration packets may be defined by recognizing that a normal PHY configuration packet is ignored if both the set\_root\_control bit 1803 and the set\_gap\_timer\_control bit 1804 are set to logic 0's. Figure 18B shows a NODE\_ADDED\_ALERT PHY

10 configuration packet 1810 wherein both the set\_root\_control bit 1803 and the set\_gap\_timer\_control bit 1804 are set to logic 0's, the node\_ID field 1802 includes the bus address of the node sending the packet, and all bits but the next to least significant bit of the gap\_count field 1805 are set to a logic 0. Figure 18C shows a SET\_ADDRESS packet PHY

15 configuration packet 1815 wherein both the set\_root\_control bit 1803 and the set\_gap\_timer\_control bit 1804 are set to logic 0's, the node\_ID field 1802 is set to all logic 1's, and the gap\_count field 1805 includes the address to which the new node is to be set. Figure 18D shows a

NODE\_DETACHED\_ALERT PHY configuration packet 1820 wherein  
20 both the set\_root\_control bit 1803 and the set\_gap\_timer\_control bit 1804 are set to logic 0's, the node\_ID field 1802 includes the bus address of the node sending the packet, and all bits but the least significant bit of the gap\_count field 1805 are set to a logic 0.



Figure 18E shows a SEND\_SELF\_ID packet 1825 wherein both the set\_root\_control bit 1803 and the set\_gap\_timer\_control bit 1804 are set to logic 0's, the node\_ID field 1802 includes the bus address of the destination node, and all bits of the gap\_count field 1805 are set to a  
5 logic 0. The SEND\_SELF\_ID packet may be used by a BTM to build a topology map without requiring a bus reset. This is discussed in more detail below.

The special PHY configuration packets shown in Figures 18B-18E are advantageous in that they are simply ignored by nodes that operate  
10 strictly according to the P1394 serial bus standard, and the addition of nodes that support incremental addition of nodes to the serial bus may be done in a relatively unobtrusive manner. It is therefore sufficient that only new nodes and identified nodes of the serial bus to which a new node may be connected contain circuitry for performing the  
15 incremental addition process.

Figures 19-22 are state diagrams for state machines used by JCSNs and JCNNs to perform the incremental addition process according to one embodiment. Specifically, Figure 19 shows a new connect state machine for a JCNN; Figure 20 shows a node addition process detection  
20 state machine for JCNNs so that multiple JCSNs that are connected concurrently may be added to the serial bus in a sequential manner; Figure 21 shows a new connect state machine for a JCSN; and Figure 22 shows a new disconnect state machine for a JCNN.

Each port of a JCNN includes a JCNN new connect state machine. Figure 19 shows that the JCNN new connect state machine is capable of being in one of seven states: NET NODE PORT, PARENT?, ARBITRATE, READY, QUEUED, ALERT, and BUS RESET. The NET  
5 NODE PORT state is the starting state for a JCNN new connect state machine. The JCNN new connect state machine remains in the NET NODE PORT state unless a new connection is detected, at which time the JCNN new connect state machine transitions to the PARENT? state.

10 While in the PARENT? state, the JCNN asserts a YAMC signal and a speed signal on its newly connected port. If the newly connected node is also a JCNN, the JCNN node detects a value on the cable of AB=11, at which time the JCNN requests a bus reset, and the JCNN new connect state machine transitions to the BUS RESET state. If the  
15 newly connected node is a JCSN, the JCNN detects the value of the cable of the newly connected port as being AB=10, at which time the JCNN new connect state machine for the newly connected port of the JCNN transitions to the ARBITRATE state.

While in the ARBITRATE state, the JCNN performs fair  
20 arbitration for the serial bus 100 and turns off its speed signal to the newly connected port. The JCNN new connect state machine transitions from the ARBITRATE state to the BUS RESET state if it detects a value of AB=11 at its associated port, which indicates that the newly connected JCSN has requested a bus reset. The JCNN new

connect state machine transitions from the ARBITRATE state to the READY state if the JCNN receives a bus grant signal from the root node.

- The JCNN new connect state machine transitions from the
- 5 ARBITRATE state to the QUEUED state if a lower numbered port of the JCNN is already in the ARBITRATE state, if another port of the JCNN is already in the READY state, if another port of the JCNN is already in the ALERT state, or if another JCNN is in the process of adding a new node, which is indicated by the output of the JCNN node addition
- 10 process detection state machine.

- While in the QUEUED state, the JCNN asserts the AB=OZ signal on its newly connected port. The JCNN new connect state machine transitions from the QUEUED state back to the NET NODE PORT state if the new node is subsequently disconnected. The JCNN new connect
- 15 state machine transitions from the QUEUED state to the bus reset state if the newly connected port detects the value of AB=x1, which indicates that the newly connected JCSN has requested a bus reset. The JCNN new connect state machine of a newly connected port transitions from the QUEUED state back to the ARBITRATE state if the JCNN is clear to
- 20 add the JCSN as indicated by the JCNN node addition process detection state machine, if no other port of the JCNN is in the READY state, if no other port of the JCNN is in the ALERT state, and if no lower numbered port is in the ARBITRATE state.

Once the JCNN new connect state machine enters the READY state, the JCNN asserts an idle signal on its newly connected port. If the newly connected port is subsequently disconnected while the JCNN new connect state machine is in the READY state, the JCNN new connect state machine transitions to the NET NODE PORT state. The JCNN new connect state machine transitions from the READY state to the BUS RESET state if it detects a value of AB=11 on its newly connected port. The JCNN new connect state machine transitions from the READY state to the ALERT state if it detects a value of AB=ZZ on its newly connected port.

While in the ALERT state, the JCNN sends a NODE\_ADDED\_ALERT packet and then clears the "New Connect" status of the newly connected port. The JCNN new connect state machine will transition back to the NET NODE PORT once an SET\_ADDRESS packet is detected. If the JCNN detects that the value at the newly connected port is AB=11, the JCNN new connect state machine transitions from the ALERT state to the bus reset state.

The QUEUED state of the JCNN new connect state machine provides for the sequential addition of multiple JCSNs that are added to the serial bus at substantially the same time. Because each port includes a JCNN new connect state machine, incremental configuration is allowed even when multiple JCSNs are added to the same JCNN at substantially the same time.

Figure 20 shows the JCNN node addition process detection state machine, which is used to determine when a JCNN new connect state machine should enter or leave the QUEUED state. The JCNN node addition process detection state machine has two possible states and starts in a CLEAR TO ADD state. If a JCNN receives a  
5 NODE\_ADDED\_ALERT packet, the JCNN node addition process detection state machine transitions from CLEAR TO ADD state, to the ADD IN PROGRESS state. The JCNN new connect state machines for ports that are arbitrating to send a NODE\_ADDED\_ALERT packet  
10 transition from the ARBITRATE state to the QUEUED state in response to the JCNN node addition process detection state machine being in the ADD IN PROGRESS state. Once a SET\_ADDRESS packet is received, the JCNN node addition detection state machine transitions from the ADD IN PROGRESS state back to the CLEAR TO ADD state.

15 Figure 21 shows a JCSN new connect state machine, which is shown as including the following six states: SINGLE NODE, CHILD, TREED, AIDLE, QUEUED, and BUS RESET. The starting state for the JCSN new connect state machine is the SINGLE NODE state. When the new connection is detected by the JCSN, the JCSN starts a timeout  
20 timer. The JCSN new connect state machine transitions from the SINGLE NODE state to the CHILD state when a YAMC signal is received. If, as indicated by the timeout timer, the YAMC signal is not detected within a predetermined time T1, which may be 300 milliseconds, the JCSN requests a bus reset such that the JCSN new

connect state machine transitions from the SINGLE NODE state to the BUS RESET state. While in the BUS RESET state, the JCSN asserts the value AB=11 on its newly connected port, forcing a bus reset.

- While in the CHILD state, the JCSN asserts a YAMP signal and
- 5 its speed signal on its newly connected port and restarts the timeout timer. The detection of a value of AB=0Z at the newly connected port causes the JCSN new connect state machine to transition from the CHILD state to the TREED state. The newly connected port may have the value of AB=0Z in response to the JCNN being in the READY state.
- 10 A timeout may occur such that the state machine goes from the CHILD state to the BUS RESET state if the JCSN does not transition from the CHILD to the TREED state within a predetermined time T2, which may be 100 milliseconds.

- The JCSN new connect state machine transitions from the
- 15 CHILD state to the QUEUED state in response to detecting a value of AB=00 on the newly connected port. The newly connected port may have the value of AB=00 in response to the JCNN being in the QUEUED state. While in the QUEUED state, the JCSN restarts the timeout timer. If the JCSN new connect state machine detects a value
- 20 of AB=01 on its newly connected port, the JCSN new connect state machine transitions from the QUEUED state back to the CHILD state. If the value on the newly connected port remains at AB=00 for a predetermined time T3, which may be 600 milliseconds, the JCSN new

connect state machine transitions from the QUEUED state to the BUS RESET state, and the JCSN forces a bus reset.

While in the TREED state, the JCSN asserts an idle signal having a value of AB=ZZ on its connected port, turns off the speed signal to its  
5 parent, and restarts the timeout timer. The reception of a SET\_ADDRESS packet causes a transition from the TREED state to the AIDLE state. The AIDLE state signifies that the JCSN has successfully completed the incremental addition process. If a SET\_ADDRESS packet is not received within a predetermined time T4, which may be  
10 600 milliseconds, the JCSN new connect state machine transitions from the TREED state to the BUS RESET state, and the JCSN forces a bus reset. Disconnection of the JCSN from the serial bus while the JCSN new connect state machine is in the either the QUEUED state, the CHILD state, or the TREED state causes the JCSN new connect state  
15 machine to transition to the SINGLE NODE state.

Figure 22 shows the JCNN new disconnect state machine, which has the following three states: NET NODE, NOTIFY, and BUS RESET. The NET NODE state is the default state and no actions are taken by the JCNN while in the NET NODE state. If a disconnection is detected on a  
20 port that is coupled to a child node, the JCNN transitions from the NET NODE state to the NOTIFY state. If the disconnect is on the port that is coupled to the parent node of the JCNN, the JCNN forces a bus reset.

While in the NOTIFY state, the JCNN makes a fair arbitration request for control of the bus. Upon receiving a bus grant, the JCNN sends a `NODE_DISCONNECTED_ALERT` packet. The JCNN disconnect state machine transitions from the NOTIFY state to the NET  
5 `NODE` state once the `NODE_DETACHED_ALERT` packet is sent.

Now that illustrative embodiments of the incremental configuration process have been discussed with some particularity, a polling mechanism for allowing the bus topology manager of a serial bus to build a topology map for the serial bus without requiring a bus  
10 reset is now described. The polling mechanism is useful for most applications of the serial bus wherein it is desirable to reduce bus stalls.

The general polling process will now be discussed with respect to Figures 23-25. In Figure 23, the BTM node 130 sends a `SEND_SELF_ID` broadcast packet, which may be the special PHY configuration packet  
15 shown in Figure 18E. The `SEND_SELF_ID` packet propagates throughout the entire serial bus. For this example, the `SEND_SELF_ID` packet specifies node 305 as the target node. As shown in Figure 24, node 305 sends a `self_id` packet in response to receiving the `SEND_SELF_ID` packet. The `self_id` packet may be identical to the  
20 `self_id` packet specified by the P1394 serial bus standard. The `self_id` packet of target node 305 is propagated throughout the serial bus such that BTM node 130 receives the `self_id` packet of target node 305. To provide for complete determination of the connection topology, the parent node 125 sends its own `self_id` packet after retransmitting the



self\_id packet of the target node 305. This is shown in Figure 25. The self\_id packet of the target node's parent node propagates throughout the serial bus such that it is received by the BTM node 130.

There are many ways to provide the polling mechanism shown in Figures 23-25. Wherein it is desirable to reduce costs associated with providing new circuitry for each node of a serial bus, the polling mechanism may be provided by modifying existing circuitry of the nodes. According to one embodiment, the protocol state machine for each node may be modified.

Figure 26, shows a modified protocol state machine that may be included in each node of the serial bus so that the BTM node may build a topology map without forcing a bus reset. The S4:SELF ID TRANSMIT state shown by Figure 26 is the final state of self identification phase of the configuration process. During the normal S4:SELF ID TRANSMIT state, a node transmits its self\_id packet preceded by a data prefix having a value of AB=01 and followed by a data suffix having a value of AB=10. After transmitting the data suffix of a self-id packet, a node that is transmitting its own self-id packet leaves the A line to its parent node high as a "terminal handshake" signal that indicates to the parent node that the self-id packet comes directly from its child. The parent and child nodes exchange speed capabilities during the handshake signal. The terminal handshake as transmitted by a child node is identified by the P1394 serial bus standard as the tx\_ident\_done line state.

According to the P1394 serial bus standard, the protocol state machine of a node transitions from a S1:SELF ID GRANT state to the S4:SELF ID TRANSMIT state when all child ports of that node are identified, and the protocol state machine of a node transitions from  
5 the S4:SELF ID TRANSMIT state to the A0:IDLE state, which is the beginning state for bus arbitration, when the self\_id packet of the node has been transmitted and either the node is the root or the node begins to receive the self\_id packet of another node. The P1394 serial bus standard does not provide for a return to the S4:SELF ID TRANSMIT  
10 state after arbitration begins other than by performing the bus configuration process in response to a bus reset.

As shown in Figure 26, two new transitions to the S4:SELF ID TRANSMIT state are specified by the present embodiment. Both transitions begin at the A5:RECEIVE state for the protocol state  
15 machine. According to the P1394 serial bus standard, a node receives data from the serial bus while in the A5:RECEIVE state. When a node receives a SEND\_SELF\_ID packet that specifies that node as the target node, the node transitions from the A5:RECEIVE state to the S5:SELF ID TRANSMIT state and sends its self-id packet complete with  
20 subsequent handshake signal to its parent node. Speed signaling may be omitted. The parent node, upon detecting the self-id packet of its child and the terminal handshake signal also transitions from the A5:RECEIVE state to the S5:SELF ID TRANSMIT state and sends its self-id packet without a terminal handshake signal. Again, speed

signaling may be omitted. The parent node transmits a tx\_datat\_prefix signal having a transmitted value of AB=10 to the target node such that it returns to normal arbitration. The parent node also returns to normal arbitration.

5           The polling mechanism described with reference to Figures 23-25 may find utility for other applications, as well. For example, for network management it is necessary to calculate the worst case round trip propagation delay through the serial bus so that the gap count may be set. According to the P1394 serial bus standard, all nodes have a  
10       maximum propagation delay, and all cables have a maximum length. Therefore, for a prior serial bus it is sufficient to know the number of nodes in the longest row of the serial bus and to multiply that number by the maximum propagation delays associated with the nodes and cables.

15           For some cases, it may be desirable to provide arbitrary length cables or nodes having arbitrary propagation delays. Because the maximum propagation delays are no longer known, calculating the worst case propagation round trip propagation delay becomes more complicated, and a new mechanism is needed. The polling  
20       mechanism described above may be used to calculate the worst case round trip delay for the serial bus. The BTM node can calculate the actual round trip delay time between it and any other node of the serial bus by simply starting a timer after it sends the SEND\_SELF\_ID packet and stopping the timer when a SELF\_ID packet is received. Calculating

the worst case round trip delay then becomes a simple manner of arithmetic.

In the foregoing specification the invention has been described with reference to specific exemplary embodiments thereof. It will, however, be evident that various modifications and changes may be made thereto without departing from the broader spirit and scope of the invention as set forth in the appended claims. The specification and drawings are, accordingly, to be regarded in an illustrative rather than restrictive sense.